

**WIPO** 

PCT/EP99/09173

INVESTOR IN PEOPLE

FP99/9173

REC'D 0 3 FEB 2000

**PCT** 

The Patent Office Concept House

Cardiff Road Newport South Wales

**NP10 8QQ** 

**PRIORITY DOCUMENT** 

COMPLIANCE WITH RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before reregistration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated



29JUL99 £465352-1 C51942\_

THE PATENT OFFICE 4 00 - 9917661.2

29 JUL 1999

**RECEIVED BY POST** 

The Patent Office

Cardiff Road Newport Gwent NP9 1RH

1. Your reference

you fill in this form)

P0004 / QUAY

2. Patent application number (The Patent Office will fill in this part)

9917661.2

3. Full name, address and postcode of the or of each applicant (underline all surnames)

If the applicant is a corporate body, give the

Patents ADP number (if you know it)

country/state of its incorporation

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help

QUAY TECHNOLOGIES LTD

C/O UNIT 7/8 WOODLANDS FARM

COOKHAM DEAN

BERKS SLG 9PN

UNITED KINGDOM

07709389001

4. Title of the invention

STERILISER

5. Name of your agent (if you have one)

OR CHRISTOPHER GPIKE

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

PIKE & CO. HAYES LOFT

GRA HAYES PLACE

MARLOD BUCKS

Patents ADP number (if you know it)

SL7 28T

07593981002 8

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number (if you know it)

Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of \_\_\_\_ the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body.See note (d))

YES

#### Steriliser

#### Technical Field

The present invention is in the field of sterilisation apparatus for sanitising or disinfecting a substance.

#### Background to the Invention

It is known to use ultraviolet (UV) radiation in sterilisation systems for use in the purification of water and the sanitisation of items. The UV radiation and any ozone produced by the UV radiation with oxygen in the air acts to kill bacteria and germs. It is also known to employ microwave energy to excite the source of UV radiation in such systems.

One problem with known systems is that it is difficult to safely provide sufficient excitation energy to the UV source and difficult to effectively transfer that energy to the substance to be sterilised. It is therefore difficult to arrange systems for high energy, high throughput sterilisation purposes.

There is now described a steriliser which enables efficient, high throughput sterilisation to be conducted. The steriliser comprises a UV lamp which is excited by a microwave energy source. The lamp is enclosed by a waveguide comprising UV transparent material.

WO96/40298 describes an electrodeless sterilisation apparatus comprising a UV lamp which is excited by a microwave energy source. The UV lamp is shaped to define a sterilisation passage therein. In use, the substance to be sterilised is passed through the sterilisation passage in the lamp. It may be appreciated that the size and geometry of the sterilisation passage will inevitably place limitations on the types of substances which may be sterilised using this apparatus and on the throughput achievable. It is also believed that direct contact of water with the lamp may affect the sterilisation capability of the lamp. Further, from a safety standpoint it is clearly undesirable that any breakage of the lamp may result in toxic vapour elements (e.g. mercury) contacting the substance to be sterilised.

US-A-5,166,528 describes a microwave excited ultraviolet steriliser for surface sterilisation of articles such as baby bottles and contact lenses. The steriliser comprises a plurality of UV bulbs which directly emit radiation to the articles.

US-A-5,141,636 describes a water purification system in which water is flowed along a flow path past a UV source. No mention is made of microwave excitation of the UV source.

WO97/35624 describes a steriliser employing a microwave-actuated UV energy source as the sterilisation means. No waveguide is provided between the UV energy source and the substance to be sterilised.

## Summary of the Invention

According to one aspect of the present invention there is provided a steriliser comprising an ultraviolet lamp; a microwave energy source for exciting said ultraviolet lamp; and an enclosure for enclosing the ultraviolet lamp, the enclosure comprising a UV transparent waveguide.

By steriliser it is meant an apparatus suitable for use in any sterilisation, sanitisation, purification or disinfection processes.

By UV transparent waveguide it is meant a waveguide that is substantially transparent to ultraviolet radiation, typically having a transparency of greater than 90%, preferably greater than 95% to UV radiation.

Suitably, the ultraviolet lamp has no electrode. That is to say it is an electrodeless lamp such as one comprising a partially evacuated tube comprising an element or mixtures of elements in vapour form. Mercury is a preferred element for this purpose, but alternatives include mixtures of inert gases with mercury compounds, sodium and sulphur. Preferably, the dominant wavelength produced by the lamp is 254nm.

In one aspect, the waveguide controls the flow of microwave energy from the enclosure. Control of the microwave energy which passes through the waveguide is useful in embodiments of the invention which make of both UV and microwave radiation in the sterilisation process.

In another aspect, the waveguide blocks the flow of microwave energy from the enclosure.

Suitably, the enclosure comprises quartz or a UV-transparent plastic material.

Suitably, the waveguide comprises a conducting material. The conducting material may be integral, or applied as a coating or liner. The liner may directly contact the inner surface of the enclosure or be spaced therefrom.

Suitably, the waveguide comprises a conducting mesh. Preferably, the conducting mesh comprises a material selected from the group consisting of copper, aluminium and stainless steel.

Suitably, the ultraviolet lamp has an elongate form such as a cigar-shape.

. . . . . . . . . . . . . . .

Suitably, the transparent waveguide has a cylindrical or rectangular form.

Suitably, the ultraviolet lamp has an operating temperature of less than 70°C.

Suitably, the microwave energy source comprises a magnetron. Alternative sources are envisaged such as solid state devices.

Suitably, the steriliser additionally comprises a pathguide to guide the microwave energy from the microwave energy source to the ultraviolet lamp.

In one aspect the pathguide defines an essentially linear path for the microwave energy.

In another aspect, the pathguide defines a non-linear path such as a path defining at least one right angle.

Suitably, the steriliser additionally comprises a housing for said enclosure. Preferably, the housing has an inlet and an outlet and the housing is shaped to guide fluid flow from the inlet, past the enclosure to the outlet. Preferably, the fluid comprises water or air. Suitably, the steriliser additionally comprises a pump for pumping fluid from the inlet, past the enclosure to the outlet. Alternatively, gravity may be utilised to encourage fluid flow.

According to another aspect of the present invention there is provided a lamp arrangement for use in a steriliser comprising an ultraviolet lamp, said lamp being excitable by microwave energy; and an enclosure for enclosing the ultraviolet lamp, the enclosure comprising a UV transparent waveguide.

Preferably, the ultraviolet lamp has no electrode.

According to a further aspect of the present invention there is provided a method of sterilising a substance comprising applying microwave energy to an ultraviolet lamp to produce ultraviolet radiation; and exposing the substance to said ultraviolet radiation, wherein an enclosure encloses the ultraviolet lamp, the enclosure comprising a UV transparent waveguide.

In one aspect, the substance flows past the enclosure.

## Brief description of the drawings

Preferred embodiments of the steriliser in accord with the present invention will now be described with reference to the accompanying drawings in which:

Figure 1. is a schematic representation of a first steriliser herein suitable for water purification purposes;

Figures 2a and 2b are schematic representations of second and third sterilisers herein suitable for use in water purification;

Figures 3a and 3b are schematic representations of fourth and fifth sterilisers herein suitable for use in air purification;

Figure 4. is a schematic representation of a sixth steriliser herein suitable for use in combined UV and microwave sterilisation methods.

# Detailed description of the invention

The present invention is here described by means of examples, which constitute possible embodiments of the invention.

Figure 1. shows a steriliser comprising an ultraviolet lamp 10 enclosed by cylindrical enclosure 20. The cylindrical walls of the enclosure 20 form a waveguide and are comprised of quartz material which is transparent to UV radiation. A conducting copper mesh 30 is provided to the inner surface of the waveguide. First end of the cylindrical enclosure has blocking end flange 22 provided thereto. The second end is provided with coupling flange 24 which couples with right angled waveguide 40 which in turn connects with rectangular waveguide 50. Magnetron 60 acts as a microwave energy source to feed microwaves into the rectangular waveguide 50, thence into the right angled waveguide 40 and finally to the ultraviolet lamp 10 which is excited thereby.

The enclosure 20 is within tubular housing 70. The housing 70 has a water inlet 72 and a water outlet 74 provided thereto. In use, water flows from the inlet 72 past the enclosure 20 and towards the outlet 74. As the water flows past the enclosure 20 it is irradiated with UV radiation produced by the ultraviolet lamp 10. The radiation itself passes through the UV transparent walls of the enclosure 120a, 120b to contact the water.

Figures 2a and 2b show related santisers herein. Both comprise ultraviolet mercury discharge lamp 110a, 110b enclosed by cylindrical enclosure 120a, 120b. The cylindrical walls of the enclosure 120a, 120b form a waveguide and are comprised of quartz material which is transparent to UV radiation. A conducting copper mesh 130a, 130b is provided to the inner surface of the waveguide. The enclosure 120a, 120b has air or nitrogen circulating therein. First end of the cylindrical enclosure has blocking end flange 122a, 122b provided thereto. The second end is provided with coupling flange 124a, 124b which couples with water-tight chamber 150a, 150b which contains brass waveguide 140a, 140b and magnetron 160a, 160b. The magnetron 160a, 160b acts as a microwave energy source to feed microwaves into the brass waveguide 140a, 140b and thence to the ultraviolet lamp 110a, 110b which is excited thereby.

The enclosure 120a, 120b is within tubular housing 170a, 170b. The housing 170a, 170b has a water inlet 172a, 172b and a water outlet 174a, 174b provided thereto. In use, water flows from the inlet 172a, 172b past the enclosure 120a, 120b and towards the outlet 174a, 174b. As the water flows past the enclosure 120a, 120b it is irradiated with UV radiation produced by the ultraviolet lamp 110a, 110b. The radiation itself passes through the UV transparent walls of the enclosure 120a, 120b to contact the water.

Figures 3a and 3b show sanitisers similar in structure to the sanitisers of Figures 2a and 2b but for use in air purification. Both comprise ultraviolet mercury discharge lamp 210a, 210b enclosed by cylindrical enclosure 220a, 220b. The cylindrical walls of the enclosure 220a, 220b form a waveguide and are comprised of quartz material which is transparent to UV radiation. A conducting copper mesh 230a, 230b is provided to the inner surface of the waveguide. The enclosure 220a, 220b has air or nitrogen circulating therein. First end of the cylindrical enclosure has blocking end flange 222a, 222b provided thereto. The second end is provided with coupling flange 224a, 224b which couples with airtight chamber 250a, 250b containing brass waveguide 240a, 240b and magnetron 260a, 260b. The magnetron 260a, 260b acts as a microwave energy source to feed microwaves into brass waveguide 240a, 240b and thence to the ultraviolet lamp 210a, 210b which is excited thereby.

The enclosure 220a, 220b is within tubular housing 270a, 270b. The housing 270a, 270b has an air inlet 272a, 272b and an air outlet 274a, 274b provided thereto. In use, air flows from the inlet 272a, 272b past the enclosure 220a, 220b and towards the outlet 274a, 274b. As the air flows past the enclosure 220a, 220b it is irradiated with UV radiation produced by the ultraviolet lamp 210a, 210b. The radiation itself passes through the UV transparent walls of the enclosure 220a, 220b to contact the air killing the bacteria and germs therein.

Figure 4 shows a cabinet steriliser herein suitable for use in sterilising objects such as medical instruments. Ultraviolet mercury discharge lamp 310 is enclosed by cylindrical enclosure 320. The cylindrical walls of the enclosure 320 form a waveguide and are comprised of quartz material which is transparent to UV radiation but only partially transparent to microwave radiation. A conducting copper mesh 330 is provided to the inner surface of the waveguide. The enclosure 320 optionally has air or nitrogen circulating therein. First end of the cylindrical enclosure has blocking end flange 322 provided thereto. The second end is provided with coupling flange 324 which couples with linear pathguide 340 which in turn connects with magnetron 360. The magnetron 360 acts as a microwave energy source to feed microwaves into pathguide 340 and thence to the ultraviolet lamp 310 which is excited thereby.

The enclosure 320 is within housing 370 which has an entry door 380 provided thereto. In use, items to be sterilised, which can include metal items, are placed in the housing 370. The items are irradiated with UV radiation produced by the ultraviolet lamp 310 and by microwave radiation deriving from the magnetron

360. The radiation itself, passes through the UV transparent and microwave partially transparent walls of the enclosure 320 to contact the items. Optionally, the housing 370 may be provided with UV transparent shelves for the items. An inner reflective lining, for example an aluminium foil lining, may also be provided to the housing 370.

The steriliser of the present invention is suitable for use in sterilising water for human consumption; sterilising waste water and sewage; sterilising metallic and non-metallic objects including medical instruments; sterilising air in buildings such as hospitals, offices and homes; curing glues and special inks; erasing eproms; and prolonging the shelf-life of foodstuffs by killing bacteria on the surface of the goods.

The steriliser of the present invention is suitable in one aspect for use in air-conditioning systems for use in vehicles such as cars, lorries and buses. The sanitiser will be sized and shaped to fit within the air-conditioning system of the vehicle and will typically therefore have a size less than the size it would possess when used in large scale air and water treatment applications.

The ultraviolet light produced by the sanitiser herein may additionally be channelled as a light source of high intensity. Suitable uses would include lighting within buildings and lighting for vehicles such as cars, lorries and buses.

#### Claims

1. A steriliser comprising

an ultraviolet lamp;

a microwave energy source for exciting said ultraviolet lamp; and

an enclosure for enclosing the ultraviolet lamp, the enclosure comprising a UV transparent waveguide.

- 2. A steriliser according to claim 1, wherein the ultraviolet lamp—has no electrode.
- 3. A steriliser according to either of claims 1 or 2, wherein the waveguide controls the flow of microwave energy from the enclosure.
- 4. A steriliser according to either of claims 1 or 2, wherein the waveguide blocks the flow of microwave energy from the enclosure.
- 5. A steriliser according to any of claims 1 to 4, wherein the enclosure comprises quartz or a UV-transparent plastic material.
- 6. A steriliser according to any of claims 1 to 5, wherein the waveguide comprises a conducting material.
- 7. A steriliser according to claim 6, wherein the waveguide comprises a conducting mesh.
- 8. A steriliser according to claim 7, wherein the conducting mesh comprises a material selected from the group consisting of copper, aluminium and stainless steel.
- 9. A steriliser according to any of claims 1 to 8, wherein the ultraviolet lamp has an elongate form.
- 10. A steriliser according to any of claims 1 to 9, wherein the transparent waveguide has a cylindrical or rectangular form.
- 11. A steriliser according to any of claims 1 to 10, wherein the ultraviolet lamp has an operating temperature of less than 70°C.
- 12. A steriliser according to any of claims 1 to 11, wherein the microwave energy source comprises a magnetron.
- 13. A steriliser according to any of claims 1 to 12, additionally comprising a pathguide to guide the microwave energy from the microwave energy source to the ultraviolet lamp.

- 14. A steriliser according to claim 13, wherein the pathguide defines an essentially linear path.
- 15. A steriliser according to claim 13, wherein the pathguide defines a non-linear path.
- 16. A steriliser according to any of claims 1 to 15 additionally comprising a housing for said enclosure.
- 17. A steriliser according to claim 16, wherein the housing has an inlet and an outlet and the housing is shaped to guide fluid flow from the inlet, past the enclosure to the outlet.
- 18. A steriliser according to claim 17, wherein said fluid comprises water or air.
- 19. A steriliser according to either of claims 17 to 18, additionally comprising a pump for pumping fluid from the inlet, past the enclosure to the outlet.
- 20. A steriliser substantially as described in the accompanying description and drawings
- 21. A lamp arrangement for use in a steriliser comprising

an ultraviolet lamp, said lamp being excitable by microwave energy; and

an enclosure for enclosing the ultraviolet lamp, the enclosure comprising a UV transparent waveguide.

- 22. A lamp arrangement according to claim 21, wherein the ultraviolet lamp has no electrode.
- 23. A lamp arrangement substantially as described in the accompanying description and drawings
- 24. A method of sterilising a substance comprising

applying microwave energy to an ultraviolet lamp to produce ultraviolet radiation; and

exposing the substance to said ultraviolet radiation, wherein

an enclosure encloses the ultraviolet lamp, the enclosure comprising a UV transparent waveguide.

25. A method according to claim 24, wherein the substance flows past said enclosure.

26. A method of sterilising a substance substantially as described in the accompanying description and drawings.

1 0007

## **Abstract**

There is described a steriliser comprising an ultraviolet lamp, a microwave energy source for exciting said ultraviolet lamp and an enclosure for enclosing the ultraviolet lamp, the enclosure comprising a UV transparent waveguide. The steriliser is particularly suitable for use in the purification of water.





